

03500.016270.

PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
TAKAHARU KONDO, et al.	)	
Application No.: 10/092,617	)	Group Art Unit: 2811
Filed: March 8, 2002	)	
For: SEMICONDUCTOR ELEMENT,	)	
AND METHOD OF FORMING	)	
SILICON-BASED FILM	)	September 17, 2002

Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed below and on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

- (1) U.S. Patent No. 6,103,138
- (2) U.S. Patent No. 6,303,945 B1
- (3) Japan 11-233803
- (4) Japan 11-310495

References (3) and (4) are discussed at pages 2 and 3 of the specification and may be deemed relevant for the reasons given there. Reference (1) is believed to be an English-language counterpart of reference (4). English-language abstracts for references (3) and (4) are enclosed. The concise explanation of relevance for each of references (3) and (4) is believed to be satisfied by one

of the discussion in the specification, the English-language counterpart, or the English-language abstract.

The Examiner's attention is also directed to the following U.S. Applications:

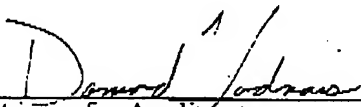
<u>APPLICATION NO.</u>	<u>FILING DATE</u>	<u>ATTY. DOCKET NO.</u>
09/758,345	01/12/01	00839.000434.1
10/113,675	04/02/02	03500.016343.

A copy of each cited Application is enclosed.

Inasmuch as the subject application has not yet received a first Office Action, it is believed that this Information Disclosure Statement is timely. See 37 C.F.R. 1.97(b)(3). Accordingly, the Examiner is urged to study this information in its entirety and to form an independent determination of the materiality of the information to the claimed invention. Additionally, the Examiner is requested to indicate that this information has been considered by initialing the appropriate portion of Form PTO-1449.

Applicants' undersigned attorney may be reached in our Costa Mesa, California office by telephone at (714) 540-8700. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

  
\_\_\_\_\_  
Attorney for Applicants  
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: 12/23/03 13:01 FAX 212 218 2165

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Commissioner for Patents  
Washington, D.C. 20231

Date 9, 18, 2002  
Mo. Day Yr.

Atty. Docket 03500-01270

Application No. 02/1214

Sir,

Kindly acknowledge receipt of the accompanying:

- ☐ Response to Official Action \_\_\_\_\_
- ☐ Check for \$ \_\_\_\_\_ (claims fee)
- ☐ Petition under 37 CFR 1.136 and Check for \$ \_\_\_\_\_
- ☐ Notice of Appeal and Check for \$ \_\_\_\_\_
- ☒ Information Disclosure Statement, PTO-1449 and \_\_\_\_\_ documents
- ☐ Claim for priority and certified copies of \_\_\_\_\_ priority applications
- ☐ Issue fee transmittal and Check for \$ \_\_\_\_\_
- ☐ Other (specify) \_\_\_\_\_

by placing your receiving date stamp hereon and mailing or returning to deliver.

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Due Date N, D, D  
Mo. Day Yr.

37 CFR 1.8 ☐

37 CFR 1.10 ☐

By Hand ☒

